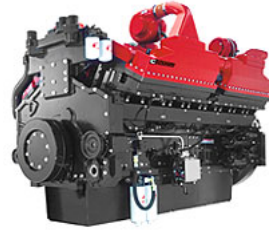


## **Outline**

**USC Viterbi**  
School of Engineering

- Why gas turbines?
- Computation of thrust
- Propulsive, thermal and overall efficiency
- Specific thrust, thrust specific fuel consumption, specific impulse
- Breguet range equation

## Why gas turbines?

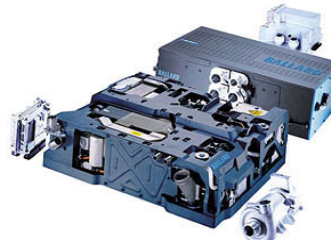


- GE CT7-8 turboshaft (used in helicopters)
- <https://www.geaviation.com/commercial/engines/ct7-engine>
- Compressor/turbine stages: 6/4
- Diameter 26", Length 48.8" = 426 liters = 5.9 hp/liter
- Dry Weight 537 lb, max. power 2,634 hp (power/wt = 4.7 hp/lb)
- Pressure ratio at max. power: 21 (ratio per stage =  $21^{1/6} = 1.66$ )
- Specific fuel consumption at max. power: 0.452 (units not given; if lb/hp-hr then corresponds to 29.3% efficiency)
- Cummins QSK60-2850 4-stroke 60.0 liter (3,672 in<sup>3</sup>) V-16 2-stage turbocharged diesel (used in mining trucks)
- <https://mart.cummins.com/imagelibrary/data/assetfiles/0032422.pdf>
- 2.93 m long x 1.58 m wide x 2.31 m high = 10,700 liters = 0.27 hp/liter
- Dry weight 21,207 lb, 2850 hp at 1900 RPM (power/wt = 0.134 hp/lb = 35x lower than gas turbine)
- BMEP = 22.1 atm
- Volume compression ratio ??? (not given)

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## Why gas turbines?



- Pratt & Whitney R-2800 46 liter (2800 in<sup>3</sup>) 18-cyl. 4-stroke supercharged gasoline engine (used in WWII aircraft)
- Total volume 53" dia x 81" length = 2927 liters = 0.72 hp/liter
- 2100 hp @ 2700 RPM
- Dry weight 2360 lb. (power/wt = 0.89 hp/lb = 5.3x lower than gas turbine)
- BMEP = 14.9 atm
- Volume compression ratio 6.8:1 (= pressure ratio 14.6 if isentropic)
- NuCellSys HY-80 "Fuel cell engine" (specs no longer on-line)
- Volume 220 liters = 0.41 hp/liter
- 91 hp, 485 lb. (power/wt = 0.19 hp/lb)
- 41% efficiency (fuel to electricity) at max. power; up to 58% at lower power
- Uses hydrogen - NOT hydrocarbons
- Does NOT include electric drive system (≈ 0.40 hp/lb) at ≈ 90% electrical to mechanical efficiency
- Fuel cell + motor overall 0.13 hp/lb at 37% efficiency, not including H<sub>2</sub> storage

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## Why gas turbines?

- Why do gas turbines have much higher power/weight & power/volume than reciprocating engines? **More air can be processed** since steady flow, not start/stop
  - More air  $\Rightarrow$  more fuel can be burned
  - More fuel  $\Rightarrow$  more heat release
  - More heat  $\Rightarrow$  more work (if thermal efficiency similar)

## Why gas turbines?

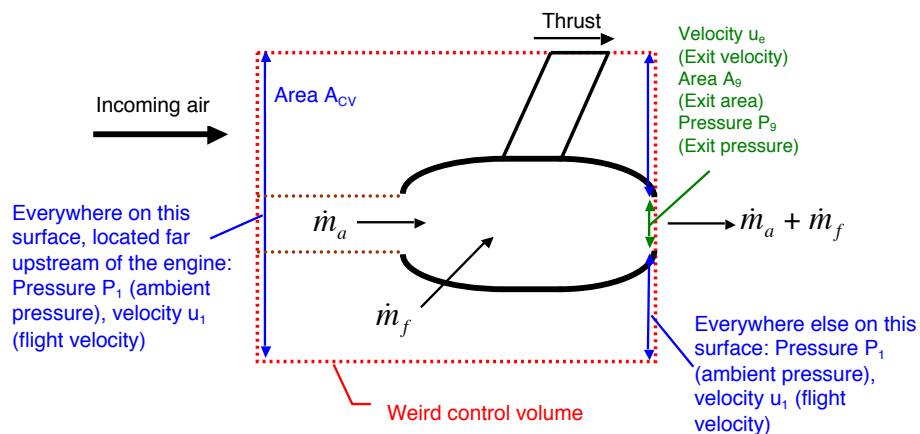
- Disadvantages
  - **Compressor** is a **dynamic** device that pushes gas from low pressure (P) to high P without positive sealing like piston/cylinder
    - » Requires very precise aerodynamics
    - » Requires blade speeds  $\approx$  sound speed, otherwise gas flows back to low P faster than compressor can push it to high P
    - » Each stage can provide only 2:1 or 3:1 pressure ratio - need many stages for large pressure ratio
  - Since steady flow, each component sees a constant temperature - **turbine stays hot continuously and must rotate at high speeds (high stress)**
    - » Severe materials and cooling engineering required (unlike reciprocating engine where components feel only **average gas temperature during cycle**)
    - » Turbine inlet temperature limit typically 1400°C - limits fuel input
  - As a result, turbines require more maintenance & are more expensive for same power

## Thrust computation

- In gas turbine and rocket propulsion we need THRUST (force acting on vehicle)
- How much thrust can we get from a given amount of fuel?
- Force =  $d(\text{momentum})/d(\text{time})$ 
  - Force = pressure x area; momentum = mass flow x velocity
- Goal of propulsion analysis is to compute exhaust velocity and pressure for a given thermodynamic cycle

## Thrust computation

- Control volume for thrust computation - in frame of reference moving with the engine



## Thrust computation - steady flight

- Newton's 2nd law: Force = rate of change of momentum

$$\sum Forces = \sum \frac{d(mu)}{dt}$$

$$\sum Forces = Thrust + P_1 A_{CV} - [P_1(A_{CV} - A_9) + P_9 A_9] = T + (P_1 - P_9) A_9$$

$$\sum \frac{d(mu)}{dt} = \sum \left( \frac{dm}{dt} u + m \frac{du}{dt} \right) = \sum (\dot{m}u + 0) \quad (\text{if steady, } \frac{du}{dt} = 0)$$

$$\sum \dot{m}u = (\dot{m}_f + \dot{m}_a)u_9 - (\dot{m}_a)u_1 \quad (u_{fuel} = 0 \text{ in moving reference frame})$$

$$\text{Combine: } Thrust = (\dot{m}_a + \dot{m}_f)u_9 - \dot{m}_a u_1 + (P_9 - P_1)A_9$$

$$\Rightarrow Thrust = \dot{m}_a [(1 + FAR)u_9 - u_1] + (P_9 - P_1)A_9;$$

$$FAR = \dot{m}_f / \dot{m}_a = \text{Fuel to air mass ratio} = f / (1 - f) \quad (f = \text{fuel mass fraction})$$

- At takeoff  $u_1 = 0$ ; for rocket no inlet so  $u_1 = 0$  always
- For hydrocarbon-air combustion  $FAR \ll 1$ ; typically 0.06 at stoichiometric, but maximum allowable  $FAR \approx 0.03$  due to turbine inlet temperature limitations (discussed later...)

## Thrust computation

- But how to compute exit velocity ( $u_9$ ) and exit pressure ( $P_9$ ) as a function of ambient pressure ( $P_1$ ), flight velocity ( $u_1$ )? Need compressible flow analysis, next lecture ...
- Also - one can obtain a given thrust with large  $(P_9 - P_1)A_9$  and a small  $\dot{m}_a [(1 + FAR)u_9 - u_1]$  or vice versa - which is better, i.e. for given  $u_1$ ,  $P_1$ ,  $\dot{m}_a$  and FAR, what  $P_9$  will give most thrust?

Differentiate thrust equation and set = 0

$$\frac{d(Thrust)}{d(P_9)} = \dot{m}_a \left[ (1 + FAR) \frac{d(u_9)}{d(P_9)} - 0 \right] + (1 - 0)A_9 + (P_9 - P_1) \frac{d(A_9)}{d(P_9)} = 0$$

- Momentum balance at exit (see next slide)

$$AdP + \dot{m}du = 0 \Rightarrow A_9 + \dot{m}_a (1 + FAR) \frac{d(u_9)}{d(P_9)} = 0$$

- Combine

$$\frac{d(Thrust)}{d(P_9)} = (P_9 - P_1) \frac{d(A_9)}{d(P_9)} = 0 \Rightarrow P_9 = P_1$$

- ⇒ Optimal performance occurs for exit pressure = ambient pressure
- ⇒ Valid for any 1-D steady cycle (ideal or not), any material

Coefficient of friction ( $C_f$ )

$$C_f = \frac{\text{Wall drag force}}{\frac{1}{2} \rho u^2 \cdot (\text{Wall area})}$$

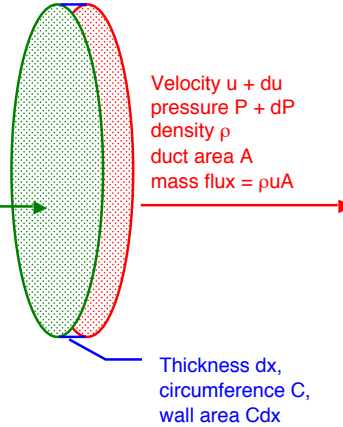
$$\sum \text{Forces} = \sum \frac{d(mu)}{dt}$$

$$\sum \text{Forces} = PA - (P + dP)A - C_f \left( \frac{1}{2} \rho u^2 \right) (Cdx)$$

$$\sum \frac{d(mu)}{dt} = \sum \dot{m}u = \dot{m}u - \dot{m}(u + du)$$

$$\text{Combine: } AdP + \dot{m}du + C_f \left( \frac{1}{2} \rho u^2 \right) Cdx = 0$$

Velocity  $u$   
pressure  $P$   
density  $\rho$   
duct area  $A$   
mass flux =  $\rho uA$



### Thrust computation

- Wait – this says  $P_9 = P_1$  is an extremum –but is it a minimum or maximum?

$$\frac{d(\text{Thrust})}{d(P_9)} = (P_9 - P_1) \frac{d(A_9)}{d(P_9)} \Rightarrow \frac{d^2(\text{Thrust})}{d(P_9)^2} = (P_9 - P_1) \frac{d^2(A_9)}{d(P_9)^2} + \frac{d(A_9)}{d(P_9)} \quad (1)$$

but  $P_9 = P_1$  at the extremum cases so

$$\frac{d^2(\text{Thrust})}{d(P_9)^2} = \frac{d(A_9)}{d(P_9)}$$

- Maximum thrust if  $d^2(\text{Thrust})/d(P_9)^2 < 0 \Rightarrow dA_9/dP_9 < 0$  - we will show this is true for supersonic exit conditions
- Minimum thrust if  $d^2(\text{Thrust})/d(P_9)^2 > 0 \Rightarrow dA_9/dP_9 > 0$  - we will show this is true for subsonic exit conditions, but for subsonic,  $P_9 = P_1$  always since acoustic (pressure) waves can travel up the nozzle, equalizing the pressure to  $P_9$ , so it's a moot point

## Thrust computation

- Turbofan: same as turbojet except that there are two streams, one hot (combusting) and one cold (non-combusting, fan only, use prime (') superscript):

$$Thrust = \dot{m}_a \left[ (1 + FAR) u_9 - u_1 \right] + (P_9 - P_1) A_9 + \dot{m}'_a \left[ u'_9 - u_1 \right]$$

- Note (1 + FAR) term applies only to combusting stream
- Note we assumed  $P_9 = P_1$  for fan stream; for any sane fan design  $u_9'$  will be subsonic so  $P_9 = P_1$  will be true

## Propulsive, thermal, overall efficiency

- Thermal efficiency ( $\eta_{th}$ )

$$\eta_{th} \equiv \frac{\Delta(\text{Kinetic energy})}{\text{Heat input}} = \frac{(\dot{m}_a + \dot{m}_f) u_9^2 / 2 - (\dot{m}_a) u_1^2 / 2}{\dot{m}_f Q_R}$$

$$\text{If } \dot{m}_f \ll \dot{m}_a \text{ (} FAR \ll 1 \text{) then } \eta_{th} \approx \frac{(u_9^2 - u_1^2) / 2}{FAR \cdot Q_R}$$

- Propulsive efficiency ( $\eta_p$ )

$$\eta_p \equiv \frac{\text{Thrust power}}{\Delta(\text{Kinetic energy})} = \frac{Thrust \cdot u_1}{(\dot{m}_a + \dot{m}_f) u_9^2 / 2 - (\dot{m}_a) u_1^2 / 2}$$

$$\text{If } \dot{m}_f \ll \dot{m}_a \text{ (} FAR \ll 1 \text{) and } P_9 = P_1 \text{ then } \eta_p \approx \frac{\dot{m}_a (u_9 - u_1) \cdot u_1}{\dot{m}_a (u_9^2 - u_1^2) / 2} = \frac{2u_1 / u_9}{1 + u_1 / u_9}$$

- Overall efficiency ( $\eta_o$ )

$$\eta_o \equiv \frac{\text{Thrust power}}{\text{Heat input}} = \frac{\text{Thrust power}}{\Delta(\text{Kinetic energy})} \frac{\Delta(\text{Kinetic energy})}{\text{Heat input}} = \eta_{th} \eta_p$$

this is the most important efficiency in determining aircraft performance (see Breguet range equation, coming up...)

## Propulsive, thermal, overall efficiency

- Note on propulsive efficiency

$$\eta_p = \frac{\dot{m}_a (u_9 - u_1) u_1}{\dot{m}_a (u_9^2 - u_1^2) / 2} = \frac{2(u_9 - u_1) u_1}{[u_1 + (u_9 - u_1)]^2 - [u_1]^2} = \frac{1}{1 + \Delta u / 2u_1}; \Delta u \equiv u_9 - u_1$$

- $\eta_p \rightarrow 1$  as  $\Delta u \rightarrow 0 \Rightarrow u_9$  is only slightly larger than  $u_1$
- But then you need large mass flow rate ( $\dot{m}_a$ ) to get the required Thrust  $\sim \dot{m}_a \Delta u$  - but this is how turbofan engines work!
- In other words, **the best propulsion system accelerates an infinite mass of air by an infinitesimal  $\Delta u$**
- Fundamentally this is because Thrust  $\sim \Delta u = u_9 - u_1$ , but energy required to get that thrust  $\sim (u_9^2 - u_1^2)/2$
- **This issue will come up a lot in the next few weeks!**

## Other performance parameters

- **Specific thrust** – thrust per unit mass flow rate, non-dimensionalized by sound speed at ambient conditions ( $c_1$ )

$$\text{Specific Thrust (ST)} \equiv \frac{\text{Thrust}}{\dot{m}_a c_1}$$

$$\text{Thrust} = \dot{m}_a [(1 + FAR)u_9 - u_1] + (P_9 - P_1)A_9 \quad \text{For any 1D steady propulsion system}$$

$$ST \equiv \frac{\text{Thrust}}{\dot{m}_a c_1} = (1 + FAR) \frac{u_9}{c_1} - \frac{u_1}{c_1} + \frac{(P_9 - P_1)A_9}{\dot{m}_a c_1} = (1 + FAR) \frac{u_9}{c_9} \frac{c_9}{c_1} - M_1 + \frac{(P_9 - P_1)A_9}{\frac{\rho_9 u_9 A_9}{1 + FAR} c_1}$$

$$= (1 + FAR) M_9 \frac{\sqrt{\gamma RT_9}}{\sqrt{\gamma RT_1}} - M_1 + \frac{(P_9 - P_1)(1 + FAR)}{(P_9 / RT_9) u_9 c_1}; \quad M \equiv \frac{u}{c} \quad (\text{Mach number})$$

$$= (1 + FAR) M_9 \sqrt{\frac{T_9}{T_1}} - M_1 + \left(1 - \frac{P_1}{P_9}\right) \frac{RT_9 (1 + FAR)}{\sqrt{\gamma RT_9} M_9 \sqrt{\gamma RT_1}}; \quad \left[ c = \sqrt{\gamma RT} \text{ for ideal gas} \right]$$

$$= (1 + FAR) M_9 \sqrt{\frac{T_9}{T_1}} - M_1 + \left(1 - \frac{P_1}{P_9}\right) \sqrt{\frac{T_9}{T_1}} \frac{1 + FAR}{\gamma M_9} \quad \text{For any 1D steady propulsion system if working fluid is an ideal gas with constant } C_p, \gamma$$



## Other performance parameters

- Specific thrust (ST) continued... if  $P_9 = P_1$  and  $FAR \ll 1$  then

$$ST \equiv \frac{Thrust}{\dot{m}_a c_1} = M_9 \sqrt{\frac{T_9}{T_1}} - M_1 \text{ (if } FAR \ll 1, P_1 = P_9 \text{)}$$

- Thrust Specific Fuel Consumption (TSFC) (PDR's definition)

$$TSFC \equiv \frac{\dot{m}_f}{Thrust} \frac{Q_R}{c_1} = \left( \frac{\dot{m}_a c_1}{Thrust} \right) \frac{FAR \cdot Q_R}{c_1^2} = \frac{FAR \cdot Q_R}{ST \cdot c_1^2}$$

$$\text{Note } TSFC = \frac{\dot{m}_f}{Thrust} \frac{Q_R}{u_1 c_1} = \frac{M_1}{\eta_o}$$

- Usual definition of TSFC is just  $\dot{m}_f / Thrust$ , but this is not dimensionless; use  $Q_R$  to convert  $\dot{m}_f$  to heat input, one can use either  $u_1$  or  $c_1$  to convert the denominator to a quantity with units of power, but using  $u_1$  would make TSFC blow up at  $u_1 = 0$
- Specific impulse ( $I_{sp}$ ) = thrust per weight (on earth) flow rate of fuel (plus oxidant if two reactants carried, e.g. rocket) (units of seconds)

$$I_{sp} = \frac{Thrust}{\dot{m}_{fuel} g_{earth}}; I_{sp} = \frac{(Thrust) u_1}{\dot{m}_{fuel} Q_R} \frac{Q_R}{g_{earth} c_1 M_1} = \frac{\eta_o Q_R}{M_1 c_1 g_{earth}} = \frac{Q_R}{(TSFC) c_1 g_{earth}}$$

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## Breguet range equation

- Consider aircraft in level flight (Lift = Weight) at constant flight velocity  $u_1$  (Thrust = Drag)

$$L = m_{vehicle} g;$$

$$D = Thrust = \frac{\eta_o \dot{m}_{fuel} Q_R}{u_1}$$

$$= \frac{\eta_o Q_R}{u_1} \frac{dm_{fuel}}{dt} = \frac{\eta_o Q_R}{u_1} \frac{-dm_{vehicle}}{dt}$$



- Combine expressions for lift & drag and integrate from time  $t = 0$  to  $t = R/u_1$  ( $R$  = range = distance traveled), i.e. travel time, to obtain Breguet Range Equation

$$\frac{D}{L} \frac{u_1 g}{\eta_o Q_R} dt = - \frac{dm_{vehicle}}{m_{vehicle}} \Rightarrow \int_0^{R/u_1} \frac{D}{L} \frac{u_1 g}{\eta_o Q_R} dt = - \int_{initial}^{final} \frac{dm_{vehicle}}{m_{vehicle}}$$

$$\Rightarrow \frac{D}{L} \frac{u_1 g}{\eta_o Q_R} \frac{R}{u_1} = - \ln \frac{m_{final}}{m_{initial}} \Rightarrow R = \frac{L}{D} \frac{\eta_o Q_R}{g} \ln \frac{m_{initial}}{m_{final}}$$

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## Rocket equation

- If acceleration ( $\Delta u$ ) rather than range in steady flight is desired [neglecting drag (D) and gravitational pull (W)], Force = mass x acceleration or Thrust =  $m_{\text{vehicle}} du/dt$
- Since flight velocity  $u_1$  is not constant, overall efficiency is not useful; instead use  $I_{sp}$ , leading to **Rocket Equation**:

$$\text{Thrust} = \dot{m}_{\text{fuel}} g_{\text{earth}} I_{sp} = g_{\text{earth}} I_{sp} \frac{dm_{\text{fuel}}}{dt} = -g_{\text{earth}} I_{sp} \frac{dm_{\text{vehicle}}}{dt}$$

$$\text{Thrust} = m_{\text{vehicle}} \frac{du}{dt} \Rightarrow -g_{\text{earth}} I_{sp} \frac{dm_{\text{vehicle}}}{dt} = m_{\text{vehicle}} \frac{du}{dt}$$

$$\Rightarrow du = -g_{\text{earth}} I_{sp} \frac{dm_{\text{vehicle}}}{m_{\text{vehicle}}} \Rightarrow \Delta u \equiv u_{\text{final}} - u_{\text{initial}} = -g_{\text{earth}} I_{sp} \ln \left( \frac{m_{\text{final}}}{m_{\text{initial}}} \right)$$

$$\Rightarrow \Delta u = g_{\text{earth}} I_{sp} \ln \left( \frac{m_{\text{initial}}}{m_{\text{final}}} \right)$$

- Gravity and aerodynamic drag will increase  $\Delta u$  requirement for a given mission above that required by orbital mechanics alone

## Breguet & rocket equations - comments

- Range (R) for aircraft depends on
  - $\eta_o$  (propulsion system)
  - $Q_R$  (fuel)
  - L/D (lift to drag ratio of airframe)
  - g (gravity)
  - Fuel consumption ( $m_{\text{initial}}/m_{\text{final}}$ );  $m_{\text{initial}} - m_{\text{final}}$  = fuel mass burned (or fuel + oxidizer, if not airbreathing)
- R does not consider extra fuel mass required for taxi, takeoff, climb, decent, landing, fuel reserve, etc.
- Note (irritating)  $\ln( )$  or  $\exp( )$  term in both Breguet and Rocket

$$\frac{m_{\text{initial}}}{m_{\text{final}}} = \exp \left( \frac{R \cdot g}{\eta_o Q_R L/D} \right) \text{ (Breguet); } \frac{m_{\text{initial}}}{m_{\text{final}}} = \exp \left( \frac{\Delta u}{g_{\text{earth}} I_{sp}} \right) \text{ (rocket)}$$

that occurs because more thrust is required at the beginning of the flight to carry fuel that won't be used until the end of the flight - if not for  $\ln( )$  term it would be easy to fly around the world without refueling and the Chinese would have sent skyrockets into orbit thousands of years ago!

## Examples

- What initial to final mass ratio is needed to fly around the world without refueling?

Assume distance traveled ( $R$ ) = 40,000 km,  $g = 9.8 \text{ m/s}^2$ ; hydrocarbon fuel ( $Q_R = 4.3 \times 10^7 \text{ J/kg}$ ); good propulsion system ( $\eta_o = 0.25$ ), good airframe ( $L/D = 25$ ),

$$\frac{m_{\text{initial}}}{m_{\text{final}}} = \exp\left(\frac{R \cdot g}{\eta_o Q_R L/D}\right) = \exp\left(\frac{(40 \times 10^6 \text{ m})(9.81 \text{ m/s}^2)}{(0.25)(4.3 \times 10^7 \text{ J/kg})(25)}\right) = 4.31$$

So the aircraft takeoff mass has to be mostly fuel, i.e.  $m_{\text{fuel}}/m_{\text{initial}} = (m_{\text{initial}} - m_{\text{final}})/m_{\text{initial}} = 1 - m_{\text{final}}/m_{\text{initial}} = 1 - 1/4.31 = 0.768!$  – that's why no one flew around with world without refueling until 1986 (solo flight 2005)

- What initial to final mass ratio is needed to get into orbit from the earth's surface with a single-stage rocket propulsion system?

For this mission  $\Delta u = 8000 \text{ m/s}$ ; using a good rocket propulsion system (e.g. Space Shuttle main engines,  $I_{sp} \approx 400 \text{ sec}$ )

$$\frac{m_{\text{initial}}}{m_{\text{final}}} = \exp\left(\frac{\Delta u}{g_{\text{earth}} I_{sp}}\right) = \exp\left(\frac{(8000 \text{ m/s})}{(9.81 \text{ m/s}^2)(400 \text{ s})}\right) = 7.68$$

It's practically impossible to obtain this large a mass ratio in a single stage, thus *staging* is needed where you jettison larger, heavier stages as fuel mass is consumed – that's why no one put an object into earth orbit until 1957, and no one has ever built a *single stage to orbit* vehicle.

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## Summary

- Steady flow (e.g. gas turbine) engines have much higher power-to-weight ratios than unsteady flow (e.g. reciprocating piston) engines
- A simple momentum balance on a steady-flow propulsion system shows that the best performance is obtained when
  - Exit pressure = ambient pressure
  - A large mass of gas is accelerated by a small  $\Delta u$
- Two types of efficiencies for propulsion systems - thermal efficiency and propulsive efficiency (product of the two = overall efficiency, which is the most important figure of merit)
- Definitions - specific thrust, thrust specific fuel consumption, specific impulse
- Range of an aircraft depends critically on overall efficiency - effect more severe than in ground vehicles, because aircraft must generate enough lift (thus thrust, thus required fuel flow) to carry entire fuel load at first part of flight

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